

LIGHT SOURCE MONITORING APPARATUS

Invented by

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1                   LIGHT SOURCE MONITORING APPARATUS

2

3                   CROSS REFERENCE TO RELATED APPLICATION

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5                 This application claims the benefit of U.S.  
6         Provisional Application No. 60/275,091, filed 12 March  
7         2001.

8

9                   FIELD OF THE INVENTION

10

11                This invention relates to light sources used in  
12         optoelectric modules and the like.

13

14                More particularly, the present invention relates to  
15         light source monitoring apparatus used in optoelectric  
16         modules and other electronic equipment.

17

18

19                   BACKGROUND OF THE INVENTION

20

21                In optical-to-electrical and electrical-to-optical  
22         (hereinafter "optoelectric") modules used in the various  
23         communications fields, one of the most difficult problems  
24         that must be solved is the efficient transmission of light

1 between a light generating device and an optical fiber or,  
2 alternatively, the transmission of light from the optical  
3 fiber to a light receiving device. Here it will be  
4 understood by those skilled in the art that the term  
5 "light" is a generic term which includes any  
6 electromagnetic radiation that can be modulated and  
7 transmitted by optical fibers or other optical transmission  
8 lines.

9

10 Because of light losses due to misalignment of optical  
11 components and other losses in the system, it is often  
12 necessary to drive light sources, such as lasers, light  
13 emitting diodes, etc. harder (i.e., provide more current)  
14 to provide sufficient light for proper operation. Also,  
15 because of minor changes in alignment of components,  
16 differences in components (although they may still be  
17 within a specified tolerance), ageing of components and  
18 especially the light source itself, and other factors, it  
19 is often necessary to change the amount of drive to the  
20 light sources between similar optoelectric apparatus and in  
21 a specific apparatus over a lifetime. For example, it is  
22 well known in the art that the light output of  
23 semiconductor lasers (including vertical cavity surface

1 emitting lasers 'VCSELs', edge emitting lasers, etc.)  
2 varies with changes in conditions.

3

4 It is understood by those skilled in the art that too  
5 much drive to a light source results in wasted power,  
6 overheating, reduced life cycle, and other problems.

7 However, too little drive to a light source results in

8 improper operation, possible loss of information in data  
9 systems, random errors, and many other problems. Thus, it  
10 is highly desirable to provide drive to light sources that  
11 is as close to optimum as possible. To achieve this result  
12 it is generally desirable to continuously monitor the  
13 output of the light source to ensure a constant level of  
14 light output. A variety of light source monitors have been  
15 proposed in the prior art. However, these prior art  
16 monitoring systems are generally complicated and expensive  
17 to incorporate into optoelectric modules and other  
18 apparatus.

19

20 It would be highly advantageous, therefore, to remedy  
21 the foregoing and other deficiencies inherent in the prior  
22 art.

1        Accordingly, it is an object the present invention to  
2 provide new and improved light source monitoring apparatus.  
3

4        Another object of the present invention is to provide  
5 new and improved light source monitoring apparatus which  
6 uses a minimum number of components in the system.

7

8        And another object of the present invention is to  
9 provide new and improved light source monitoring apparatus  
10 which improves the efficiency of optical systems.

11

12       Still another object of the present invention is to  
13 provide new and improved light source monitoring apparatus  
14 which allows the use of a variety of components and  
15 component materials.

## SUMMARY OF THE INVENTION

2

Briefly, to achieve the desired objects of the present invention in accordance with a preferred embodiment thereof, provided is light source monitoring apparatus including a light source designed to produce a beam of light. The light source includes drive electronics connected to the light source to supply a desired amount of drive current to the light source. A monitor diode is connected to the drive electronics to control the amount of drive current supplied to the light source by the drive electronics. A lens system is positioned to receive the beam of light from the light source and transmit substantially all of the beam of light to a light terminal. The lens system includes an optical element and a light reflecting surface on the optical element positioned to reflect a portion of the beam of light onto the monitor diode.

19

20 In a preferred embodiment the lens system includes a  
21 pair of lens elements defining an optical axis and  
22 directing light from the light source into an optical  
23 fiber. A first of the lens elements is positioned along  
24 the optical axis adjacent the light source and a second of  
25 the lens elements is positioned along the optical axis  
26 adjacent the light terminal. The light reflecting surface

1 can be, for example, the back of the second lens element or  
2 it can be a third optical element and positioned along the  
3 optical axis and between the first and second lens elements  
4 to reflect a portion of the beam of light at an angle to  
5 the optical axis onto the monitor diode. Further, the  
6 first and second lens elements (and the adjacent light  
7 source and light terminal, respectively) can be housed in  
8 separate structural portions that are later assembled to  
9 form a complete unit.

## BRIEF DESCRIPTION OF THE DRAWINGS

2

3 The foregoing and further and more specific objects  
4 and advantages of the invention will become readily  
5 apparent to those skilled in the art from the following  
6 detailed description of a preferred embodiment thereof,  
7 taken in conjunction with the drawings in which:

8

9

0 optoelectric module including an embodiment of a light  
1 source monitoring system in accordance with the present  
2 invention; and

3

4

14 FIG. 2 is an enlarged sectional view of a portion of an  
15 optoelectric module including another embodiment of a light  
16 source monitoring system in accordance with the present  
17 invention.

1           DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

2

3           The present invention pertains to new and improved  
4       light source monitoring apparatus for telecommunication and  
5       data communication apparatus and the like and in particular  
6       for optoelectric modules. Turning to FIG. 1, a sectional  
7       view is illustrated of a simplified optoelectric module 10  
8       in accordance with the present invention. As stated above,  
9       the term "optoelectric" is used herein to denote the fact  
10      that module 10 can be either an optical-to-electrical or  
11      electrical-to-optical module and will generally, include  
12      both channels. It will be understood that, since light  
13      source monitoring apparatus is being disclosed, the major  
14      use of light sources is in the electrical-to-optical  
15      portion of the module, which applies to opposite ends of  
16      both channels.

17

18       Module 10 of FIG. 1 includes a receptacle assembly 11  
19      and an optoelectric package 12 each forming a separate  
20      structural portion of module 10. After fabrication,  
21      receptacle assembly 11 and optoelectric package 12 are  
22      aligned and affixed together, as will be disclosed in more  
23      detail below. Receptacle assembly 11 is designed to  
24      receive an optical fiber 14 in communication therewith, in  
25      a manner that will become clear presently. In the  
26      preferred embodiment, optical fiber 14 is a single mode

1 fiber (the use of which is one of the major advantages of  
2 the present invention) including a glass core 15 and a  
3 cladding layer 16. Receptacle assembly 11 includes an  
4 elongated cylindrical ferrule 20 defining a fiber receiving  
5 opening 21 at one end and a mounting flange 22 at the  
6 opposite end.

7

8 Progressing from end 21 toward end 22, ferrule 20 has  
9 two radially outwardly directed steps 32 and 33. Step 32  
10 provides a surface or stop for the mounting of an optical  
11 spacer 35 and step 33 provides a surface or a stop for the  
12 positioning of an optical lens element or assembly 36. In  
13 this preferred embodiment, lens assembly 36 is formed of  
14 plastic and may be, for example, molded to simplify  
15 manufacturing of module 10. It should be understood that  
16 the term "plastic" is used herein as a generic term to  
17 describe any non-glass optical material that operates to  
18 transmit optical beams of interest therethrough and which  
19 can be conveniently formed into lenses and the like. For  
20 example, in most optical modules used at the present time  
21 the optical beams are generated by a laser that operates in  
22 the infra-red band and any materials that transmit this  
23 light, including some oxides and nitrides, come within this  
24 definition.

25

26 Lens assembly 36 defines a central opening for the  
27 transmission of light therethrough from an end 37 to an

1 opposite end 38. A lens 39 is integrally formed in the  
2 central opening a fixed distance from end 38. In this  
3 specific embodiment, lens assembly 36 is formed with  
4 radially outwardly projecting ribs or protrusions in the  
5 outer periphery so that it can be press-fit into ferrule 20  
6 tightly against spacer 35.

7

8       Thus, lens assembly 36 is frictionally held in place  
9 within ferrule 20 and holds spacer 35 fixedly in place.  
10 Also, lens 39 is spaced a fixed and known distance from  
11 spacer 35. In this preferred embodiment, optical fiber 14  
12 is inserted into ferrule 20 so that glass core 15 buts  
13 against spacer 35, which substantially reduces or  
14 suppresses return reflections. Further, by forming spacer  
15 35 of glass material with an index of refraction similar to  
16 the index of refraction of glass core 15, spreading of the  
17 light beam is substantially reduced and lower optical power  
18 is required to collimate the beam.

19

20       Optoelectric package 12 includes a base or support  
21 plate 40 and a mounting plate 42 positioned thereon. One  
22 or more spacer rings 43 may be positioned on plate 42 to  
23 provide sufficient distance for components mounted thereon.  
24 A light source (hereinafter laser 45) is mounted on the  
25 upper surface of mounting plate 42 and positioned to  
26 transmit light generated therein to a lens element or block  
27 46, including a curved reflecting lens surface 49. In this

1 embodiment, laser 45 may be, for example, any of the well  
2 known lasers, light emitting diodes, etc. Lens block 46 is  
3 mounted on mounting plate 42 by some convenient means, such  
4 as outwardly extending ears (not shown). A ring 47 is  
5 positioned on spacer rings 43 and a cap or cover 48 is  
6 affixed to ring 47.

7

8       Generally, the entire assembly, including plate 40,  
9 mounting plate 42, spacer rings 43, ring 47 and cover 48  
10 are fixedly attached together by some convenient means,  
11 such as welding, gluing, etc. so that laser 45 is enclosed  
12 in a hermetically sealed chamber. However, a hermetic seal  
13 is not necessary in many embodiments in which a laser or  
14 photodiode are used that is either separately sealed or is  
15 not sensitive to atmospheric conditions. Connections to  
16 the electrical components discussed herein can be by  
17 pigtail or by coupling through plate 40. Also, some  
18 circuitry and connections can be incorporated into plate 40  
19 and mounting plate 42, if desired.

20

21       Here it should be understood that fixing lens surface  
22 49 relative to laser 45 accurately determines the distance  
23 between lens surface 49 and laser 45. Also, fixing lens 39  
24 to optical fiber 14 accurately determines the distance  
25 between lens 39 and optical fiber 14. Because these are  
26 short distances (on the order of microns), they can be  
27 determined relatively accurately. However, the distance

1 between lens 39 and lens surface 49 is less critical, which  
2 provides substantially relaxed tolerances for module 10 and  
3 for the assembling thereof. The distance between lens 39  
4 and lens surface 49 is not critical because the light is  
5 collimated and slight variances in axial position simply  
6 produce a small amount of light loss. Also, slight  
7 differences in the relative positions along optical axis Z  
8 have little or no effect.

9

10 A window 50 is sealed in cover 48 so as to be aligned  
11 with lens block 46. Lens block 46 includes a curved  
12 reflecting lens surface 49 that redirects light from laser  
13 45 at a ninety degree angle out through window 50. While  
14 window 50 is illustrated and described as a simple window  
15 that allows the transmission of light therethrough, it will  
16 be understood that it is an optical element that may  
17 include one or more lenses or optical surfaces in specific  
18 applications. Further, window 50 is affixed to the  
19 underside of cover 48 by some convenient means, such as  
20 epoxy or other adhesive, so as to hermetically seal the  
21 light transmitting opening through cover 48. Generally,  
22 and especially when a hermetic seal is not required, window  
23 50 can be formed (e.g. molded) from plastic. In some  
24 applications, lens block 46 may also be molded from plastic  
25 for convenience in manufacturing. Also, in some  
26 applications it may be convenient to provide a light source  
27 (e.g. a VCSEL or LED) that emits directly along optical

1 axis Z, thereby omitting lens block 46 from the lens  
2 system. In such a system it may be convenient to replace  
3 window 50 with one or more lenses.

4

5 Optoelectric package 12 is affixed to receptacle  
6 assembly 11 with flange 22 of ferrule 20 butting against  
7 the upper surface of cover 48. Further, optoelectric  
8 package 12 is optically aligned with receptacle assembly 11  
9 so that light from laser 45 is directed into core 15 of  
10 optical fiber 14 along optical axis Z. This alignment can  
11 be accomplished in different ways but one reliable method  
12 is known as active alignment. In this process, laser 45 is  
13 activated and receptacle assembly 11 is positioned  
14 approximately over optoelectric package 12. The light in  
15 optical fiber 14 is measured and the alignment is adjusted  
16 for maximum light. When maximum light is measured  
17 alignment has been achieved and receptacle assembly 11 is  
18 fixed to optoelectric package 12 by some convenient means,  
19 such as welding or adhesive. Because of the separate  
20 structural portions, in most applications this alignment  
21 and assembly can be accomplished quickly and easily using  
22 machines.

23

24 Module 10 includes light source monitoring apparatus  
25 associated with laser 45. As stated above, because the  
26 light output of semiconductor lasers (including vertical  
27 cavity surface emitting lasers 'VCSELs', edge emitting

1 lasers, etc.) varies with changes in conditions, it is  
2 often desirable to include apparatus for measuring the  
3 output of the laser and using that measurement to adjust  
4 the laser to keep the output constant. In this embodiment,  
5 the light source monitoring apparatus includes a monitor  
6 diode 56 mounted on the surface of mounting plate 42. Here  
7 it will be understood that the term "monitor diode"  
8 represents any convenient electronic device (e.g.,  
9 photodiode, pin diode, PN diode, etc.) capable of receiving  
10 light at the wavelength of interest and converting the  
11 received light to electrical signals representative of the  
12 strength or amount of received light.

13

14       Also, a light reflecting surface 58 is provided in the  
15 lens system of module 10 and positioned to reflect a  
16 portion of the beam of light from laser 45 onto monitor  
17 diode 56. In this specific embodiment, light reflecting  
18 surface 58 is formed as a portion of lens 39 in lens  
19 assembly 36. In this preferred embodiment, during the  
20 formation of lens assembly 36, lens 39 is formed with a  
21 substantially flat light inlet surface 58 that is tilted or  
22 angled slightly at an angle  $\alpha$  with respect to optical axis  
23 Z. Angle  $\alpha$  is adjusted, both in the amount of the angle  
24 and in the direction of the angle so that a constant amount  
25 of light is reflected. The amount of angle  $\alpha$  of surface 58  
26 is determined during fabrication of lens assembly 36 and

1 the direction of angle  $\alpha$  can be adjusted during assembly by  
2 rotating lens assembly 36 within ferrule 20.

3 .

4 Here it should be specifically noted that surface 58  
5 is positioned a relatively long distance from lens block 46  
6 (since this distance has little or no effect on the  
7 operation of module 10) so that angle  $\alpha$  is relatively small  
8 and has a minimum effect on light traveling along optical  
9 axis Z to optical fiber 14. That is, by minimizing angle  $\alpha$   
10 the amount of light that is reflected from the main beam is  
11 minimized and the efficiency of the entire system (module  
12 10) remains high. In fact, in some applications, the light  
13 inlet surface of lens 39 may be formed (e.g., convex or  
14 concave) so that a small amount of natural reflection will  
15 be received by monitor diode 56 and additional surfaces may  
16 not be required to provide a reflecting surface.

17

18 Turning now to FIG. 2, an optoelectric package 12' of  
19 a module 10' is illustrated including another embodiment of  
20 light source monitoring system in accordance with the  
21 present invention. Components in this embodiment which are  
22 similar to components in the embodiment of FIG. 1 are  
23 designated with similar numbers and all of the numbers have  
24 a prime added to indicate the different embodiment.

25

26 Optoelectric package 12' includes a base or support  
27 plate 40' and a mounting plate 42' positioned thereon. One

1 or more spacer rings 43' may be positioned on plate 42' to  
2 provide sufficient distance for components mounted thereon.  
3 A light source (hereinafter laser 45') is mounted on the  
4 upper surface of mounting plate 42' and positioned to  
5 transmit light generated therein to a lens element or block  
6 46'. In this embodiment, laser 45' may be, for example, any  
7 of the well known lasers, light emitting diodes, etc. Lens  
8 block 46' is mounted on mounting plate 42' by some  
9 convenient means, such as outwardly extending ears (not  
10 shown). A ring 47' is positioned on spacer rings 43' and a  
11 cap or cover 48' is affixed to ring 47'.

12

13 A window 50' is sealed in cover 48' so as to be  
14 aligned with lens block 46'. Lens block 46' includes a  
15 curved reflecting surface 49' that redirects light from  
16 laser 45' at a ninety degree angle out through window 50'.  
17 While window 50' is illustrated and described as a simple  
18 window that allows the transmission of light therethrough,  
19 it will be understood that it is an optical element that  
20 may include one or more lenses or optical surfaces in  
21 specific applications.

22

23 Module 10' includes light source monitoring apparatus  
24 associated with laser 45'. As stated above, because the  
25 light output of semiconductor lasers (including vertical  
26 cavity surface emitting lasers 'VCSELs', edge emitting  
27 lasers, etc.) varies with changes in conditions, it is

1 often desirable to include apparatus for measuring the  
2 output of the laser and using that measurement to adjust  
3 the laser to keep the output constant. In this embodiment,  
4 the light source monitoring apparatus includes a monitor  
5 diode 56' mounted in a cavity 57' in mounting plate 42'.  
6 Here it will be understood that the term "monitor diode"  
7 represents any convenient electronic device (e.g.,  
8 photodiode, pin diode, PN diode, etc.) capable of receiving  
9 light at the wavelength of interest and converting the  
10 received light to electrical signals representative of the  
11 strength or amount of received light.

12

13 Also, a light reflecting surface 59' is provided in  
14 the lens system of module 10' and positioned to reflect a  
15 portion of the beam of light from laser 45' onto monitor  
16 diode 56'. In this specific embodiment, light reflecting  
17 surface 59' is the light inlet surface of window 50'. In  
18 this preferred embodiment, during the assembly of  
19 optoelectric package 12', window 50' is tilted or angled  
20 slightly at an angle with respect to optical axis Z. The  
21 angle is adjusted so that a constant amount of light is  
22 reflected onto monitor diode 56'. Here it will be  
23 understood that slanted window 50' can be used with any of  
24 the direct emitting light sources in which lens block 46'  
25 is not used. Also, window 50' can be positioned at any  
26 convenient distance from lens block 46' or the light source

1 to optimize the reflection angle and the amount of  
2 unreflected light passing through window 50'.

3

4 While the amount of reflected light received by  
5 monitor diode 56' is generally not critical, it is  
6 desireable that the reflected light is a constant  
7 percentage of the total light and sufficient to produce a  
8 control signal. Thus, an angle can be determined  
9 empirically and that angle can simply be built-into cover  
10 48'. In some specific applications, the amount of the  
11 angle can be adjusted during assembly by moving window 50'  
12 within the opening in cover 48'. Also, in some specific  
13 applications, window 50' can be fabricated with a slanted  
14 light inlet surface.

15

16 In any of the above described light source monitoring  
17 apparatus, the light source includes drive electronics,  
18 either internally or associated therewith (e.g. in an  
19 electronic module) and connected to the light source to  
20 supply an amount of drive current to the light source. The  
21 monitor diode is connected to the drive electronics so as  
22 to control the amount of drive current supplied to the  
23 light source by the drive electronics. Thus, the amount of  
24 light generated or produced by the light source can be  
25 maintained constant over time and between different  
26 modules.

1        Accordingly, new and improved light source monitoring  
2    apparatus are disclosed which are capable of controlling a  
3    light source to produce a constant output under varying  
4    conditions and which, thereby, improve the efficiency of  
5    optical systems. Because a pair of lenses are incorporated  
6    that are fixed relative to a light source and a light  
7    receiving structure, respectively, the distance along the Z  
8    axis between the pair of lenses is not critical, which  
9    allows the placement of a reflecting surface at a  
10   convenient distance from the light source. Also,  
11   manufacturing tolerances can be substantially reduced,  
12   substantially reducing manufacturing time, labor, and  
13   costs. Further, the new and improved optical alignment  
14   features allow the use of a variety of components and  
15   component materials (e.g. plastic lenses and other optical  
16   components).  
17

18        Various changes and modifications to the embodiments  
19   herein chosen for purposes of illustration will readily  
20   occur to those skilled in the art. To the extent that such  
21   modifications and variations do not depart from the spirit  
22   of the invention, they are intended to be included within  
23   the scope thereof which is assessed only by a fair  
24   interpretation of the following claims.

1 Having fully described the invention in such clear and  
2 concise terms as to enable those skilled in the art to  
3 understand and practice the same, the invention claimed is:

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